

# EM-Driven Underwater Power Generation Plants (EM-UPGPs)

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**Abstract:** EM Driven Underwater Power Generation Plants present a new architectural approach to tidal and current based energy generation. Rather than introducing new physics, EM UPGPs apply proven direct drive electromagnetic generation within a modular subsea plant structure designed to eliminate the dominant mechanical and operational burdens of traditional tidal turbines and offshore wind systems. Each plant integrates a slow turning hydrodynamic rotor, a sealed electromagnetic generator, and autonomous control systems into a single replaceable pod that can be installed, recovered, or swapped using standard ROV operations. The EM UPGP architecture replaces pitch systems, yaw drives, gearboxes, and tower structures with governed electromagnetic loading and distributed electronic control. This enables millisecond scale response to grid conditions, reduces maintenance requirements, and removes the need for heavy lift vessels or weather dependent surface access. When deployed in arrays, EM UPGPs operate as intelligent, cooperative nodes capable of balancing load, isolating faults, and maintaining stable output even when individual units are offline. This document defines the baseline EM UPGP design, introduces an optional superconducting variant for high capacity applications, and provides detailed analysis of system performance, installation methods, environmental considerations, and comparative economics. A reference two megawatt unit is used to establish realistic mass, power, and cost parameters. The analysis demonstrates that EM UPGPs can achieve energy conversion efficiency comparable to modern tidal turbines while offering significantly lower operational expenditure and a credible pathway to sub fifty dollars per megawatt hour levelised cost of energy. The architecture provides a practical, scalable, and grid compatible foundation for next generation underwater power generation.

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## 1. Introduction

Global electricity systems face mounting pressure to decarbonise while maintaining grid stability and managing the cost of new generation capacity. Marine energy, tidal streams and ocean currents in particular, offers a resource characteristic that wind and solar cannot match deterministic, forecastable power output driven by gravitational mechanics rather than weather. Tidal cycles are predictable years in advance, and major current systems exhibit stable long-term behaviour, making marine energy uniquely compatible with baseload planning, grid smoothing, and hybrid renewable portfolios in coastal nations. Despite this inherent advantage, global marine energy deployment remains limited. Existing systems fall into two broad categories: offshore wind, which has matured rapidly but carries high structural and maintenance costs due to tower-based architectures and weather-dependent surface access; and tidal turbines, which apply proven electromagnetic generation principles in harsher subsea environments but introduce complex installation requirements, mechanical control systems, and maintenance operations that drive operational expenditure to levels incompatible with commercial viability. Levelised cost of energy figures of USD 180–200 per megawatt hour for deployed tidal systems reflect not a failure of the underlying physics, but a failure of architecture. Pitch systems, yaw drives, gearboxes, hydraulic actuators, and surface-dependent maintenance models, not the generator itself, are the dominant cost drivers. The EM-Driven Underwater Power Generation Plant (EM UPGP) addresses this directly. The architecture is built on a simple premise: electromagnetic generation is already mature and efficient; the structural and operational model surrounding it is the bottleneck. Rather than introducing new physics, EM UPGPs reorganise the system around three shifts, replacing mechanical control surfaces with governed electromagnetic loading, encapsulating all critical components

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in sealed subsea pods serviceable by remotely operated vehicles, and operating each unit as an autonomous, grid-aware node within a coordinated array. Below the wave base, environmental loading is dramatically reduced, structures are simpler, and service intervals are longer. Electromagnetic governance replaces hydraulic actuators with millisecond-scale electronic control. Pod-swap maintenance reduces downtime from days to hours and eliminates the need for heavy lift vessels entirely. This paper presents the EM UPGP architecture in both its baseline direct-drive configuration and an optional superconducting variant for high-capacity applications. It details the energy conversion mechanism, system architecture, materials and durability considerations, array-level coordination, installation and recovery procedures, environmental interactions, comparative economics, and a staged technology readiness pathway. A reference two-megawatt unit is used throughout to ground performance and cost claims in realistic parameters. The analysis demonstrates a credible pathway to a levelised cost of energy below USD 50 per megawatt hour, a 40–50 percent reduction relative to offshore wind and a 75–80 percent reduction relative to existing tidal systems.

## **2. System Architecture Overview**

### **Core Principles**

The EM UPGP architecture is built on four foundational principles that distinguish it from traditional tidal and offshore wind systems.

#### **Modularity Over Monolithic Integration**

Each EM UPGP is a fully self-contained subsea plant. The rotor, generator, control electronics, and structural frame are integrated into a sealed pod designed for rapid installation, recovery, and replacement.

#### **Electromagnetic Governance Over Mechanical Control**

Mechanical pitch, yaw, and braking systems are replaced with governed electromagnetic loading. The generator's torque and power output are controlled electronically, enabling millisecond scale response to grid conditions and environmental changes.

#### **Distributed Intelligence Over Centralised Control**

Each plant operates as an autonomous, grid aware node capable of sensing local conditions, adjusting output, coordinating with neighbouring units, and isolating faults.

#### **Subsea Operation Over Surface Exposure**

By locating all critical components below the wave base, EM UPGPs avoid structural loads, fatigue cycles, and access constraints associated with surface or near surface systems.

#### **Modular Plant Structure**

Each EM UPGP consists of a sealed, replaceable pod mounted on a simple seabed interface. The pod integrates the hydrodynamic rotor assembly, direct drive generator, sealed structural housing, power conditioning system, and ROV compatible interfaces.

#### **Autonomous Control and Grid Interaction**

EM UPGPs incorporate distributed intelligence to manage both local and array level behaviour.

#### **Local Autonomy**

Each pod continuously monitors flow velocity, rotor torque, generator temperature, vibration signatures, electrical load, and grid frequency and voltage.

#### **Array Level Coordination**

Pods communicate through a subsea mesh network, enabling coordinated load balancing, collective ramping, fault isolation, wake aware optimisation, and grid responsive behaviour.

#### **Grid Aware Operation**

EM UPGPs respond to grid conditions in milliseconds, enabling frequency support, voltage regulation, rapid curtailment, and smooth integration with hybrid renewable systems.

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## **EM Governance vs Mechanical Control**

### **Electromagnetic Loading as Pitch Control**

Power output is regulated by adjusting generator torque electronically. This replaces mechanical pitch systems and eliminates hydraulic actuators, linkages, and moving blade mechanisms.

### **No Yaw System Required**

Bidirectional rotor designs allow operation in reversing tidal flows without yaw drives. This removes another major mechanical subsystem and its associated maintenance burden.

### **Electromagnetic Braking**

Overspeed protection is achieved through controlled electromagnetic braking. This avoids mechanical brake pads, calipers, and hydraulic systems.

### **Direct Drive Operation**

The rotor is directly coupled to the generator, eliminating gearboxes and reducing failure modes.

### **Electromagnetic Response Timeline**

Zero to fifty milliseconds detection of frequency deviation  
Fifty to one hundred milliseconds distributed coordination  
One hundred to one hundred fifty milliseconds electromagnetic loading adjustment  
One hundred fifty to two hundred milliseconds array level power response

### **Mechanical Response Timeline**

Zero to two seconds detection and command processing  
Two to five seconds hydraulic system pressurisation  
Five to fifteen seconds blade movement and aerodynamic stabilisation  
Total mechanical response ten to twenty seconds

### **Response Time Comparison**

Electromagnetic response zero point two seconds  
Mechanical response fifteen seconds  
average  
Electromagnetic systems are approximately seventy five times faster

### **Grid Services Impact**

Electromagnetic response enables primary frequency support  
Mechanical systems are too slow for frequency services  
Electromagnetic systems unlock additional revenue from ancillary grid services

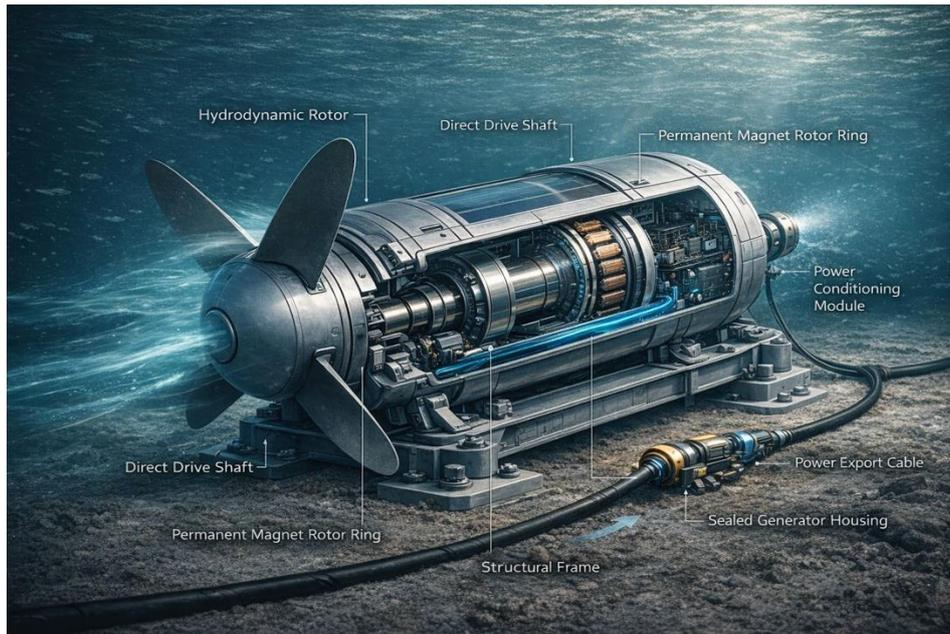
### **Energy Conversion Mechanism**

Hydrodynamic capture achieves forty to forty five percent efficiency.  
Electromagnetic conversion achieves ninety two to ninety six percent efficiency.  
Power conditioning achieves ninety six to ninety eight percent efficiency.  
Combined system efficiency is thirty seven to forty three percent.

## **3. Baseline EM UPGP Design Version B**

### **Direct Drive EM Generator**

A sealed, direct drive permanent magnet generator optimised for low speed, high torque operation



**Figure-1: EM-Driven Underwater Power Generation Plants**

#### **Hydrodynamic Rotor Assembly**

A slow turning, large diameter rotor with fixed pitch composite blades optimised for bidirectional flow.

#### **Structural Frame and Seabed Interface**

A corrosion resistant frame providing mechanical support, hydrodynamic stability, and ROV operable interfaces.

#### **Power Conditioning and Export**

Onboard power electronics convert generator output into grid compatible power.

#### **Materials, Corrosion, and Marine Durability**

A combination of corrosion resistant metals, composites, and coatings achieves a twenty five year design life.

#### **Reference 2 MW Unit Specification**

Rated power two megawatts Rotor diameter sixteen to eighteen metres Rotor speed six to twelve RPM Generator mass one hundred to one hundred twenty tons Pod mass one hundred fifty to one hundred eighty tons Cut in flow one metre per second Rated flow three point five metres per second Cut out flow five metres per second Installation time eight to twelve hours Swap out time four to six hours Energy conversion efficiency thirty seven to forty three percent

### **4. Mode C Superconducting EM UPGP Variant**

#### **Superconducting Generator Architecture**

Mode C replaces the permanent magnet generator with a superconducting generator using high temperature superconducting coils.

#### **Cryogenic Integration**

The generator pod incorporates a pressure balanced cryostat, multi layer insulation, closed cycle cryocoolers, thermal isolation, and redundant quench protection.

#### **Performance and Efficiency Gains**

Superconducting generators offer thirty to forty percent reduction in generator mass, fifteen to twenty percent improvement in efficiency, and higher torque density.



## Deployment Considerations

Mode C introduces higher capital expenditure, more complex maintenance, cryogenic system monitoring, and additional failure modes.

## Applicability Threshold

Mode C is recommended when unit capacity is five megawatts or greater and efficiency gains exceed cryogenic overhead.

## 5. Comparative Analysis

**Table EM UPGP vs Deployed Tidal Turbines**

Parameter	Orbital O2	Simec AR2000	EM UPGP	Winner
Rated power	2 MW	2 MW	2 MW	Tied
Structure type	Floating	Seabed tower	Subsea	UPGP
Pitch control	Yes	Yes	No	UPGP
Yaw control	Yes	Yes	No	UPGP
Gearbox	No	No	No	Tied
Maintenance mode	Lift unit	Lift unit	Pod swap	UPGP
Maintenance time	2–3 days	2–3 days	4–6 hours	UPGP
Deployment status	Operating	Operating	Concept	Operating systems
Grid response time	5–20 seconds	5–20 seconds	<0.2 seconds	UPGP
Proven LCOE	\$200/MWh	\$180/MWh	N/A	Operating systems
Modelled LCOE	N/A	N/A	\$44/MWh	UPGP

## Operational Cost and Maintenance Profiles Detailed Cost Model for a One Hundred Megawatt Array Capital Expenditure

Generator pods fifty units at two million each total one hundred million Seabed foundations fifty units at fifty thousand each total two point five million Subsea cable infrastructure thirty five million Grid connection fifteen million Installation ten million Consenting and engineering eight million Contingency twenty five million. Total capital expenditure is one hundred ninety five million Capital cost per megawatt one point nine five million

## Annual Operational Expenditure

Scheduled maintenance one point two five million Unscheduled repairs five hundred thousand ROV inspections four hundred thousand Grid operations two hundred thousand Insurance one million Lease and consents three hundred thousand

Total annual operational expenditure three point six five million

## Generation and LCOE

Installed capacity one hundred megawatts Capacity factor thirty percent Annual generation two hundred sixty two thousand eight hundred megawatt hours

Capital recovery twenty nine dollars seventy per megawatt hour Operational expenditure thirteen dollars ninety per megawatt hour

Total levelised energy cost forty three dollars sixty per megawatt hour

## Comparative LCOE Analysis

Offshore wind sixty to eighty dollars per megawatt hour Existing tidal systems one hundred eighty to two hundred dollars per megawatt hour EM UPGP modelled forty-four dollars per megawatt hour EM UPGP at scale thirty-five to forty-five dollars per megawatt hour. Cost advantage forty to fifty percent lower than offshore wind seventy-five to eighty percent lower than existing tidal systems

## Grid Predictability and Power Quality

EM UPGPs provide millisecond scale electromagnetic response, frequency support, voltage regulation, smooth ramping, and autonomous curtailment.

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## **Technology Readiness and Development Pathway**

### **Phase One Component Validation**

Duration twenty four months Budget

### **Technology Readiness and Development Pathway Phase One Component Validation**

Duration twenty four months Budget four million dollars Deliverables include a two hundred kilowatt test generator, rotor hydrodynamic validation through tow tank testing, electromagnetic control algorithm development, accelerated corrosion testing, and ROV interface prototyping. Key partners include university research laboratories and generator manufacturers. Risk level low Success metric generator efficiency above ninety two percent and control latency below one hundred milliseconds Go or no go gate corrosion test results must support a twenty-five-year design life.

### **Phase Two Single Pod Prototype**

Duration twenty four months overlapping with Phase One Budget fifteen million dollars Deliverables include a five hundred kilowatt full scale prototype pod, deployment at a test site, twelve months of operational validation, grid integration testing, and pod swap procedure validation. Key partners include test site operators, grid authorities, and marine contractors. Risk level medium Success metric uptime above eighty five percent and successful pod swap in under eight hours Go or no-go gate demonstrated grid compatibility with no critical failures.

### **Phase Three Pod Array Demonstration**

Duration twenty four months Budget thirty five million dollars Deliverables include three two megawatt production pods, autonomous coordination validation, array level power quality testing, mesh network reliability testing, and fault isolation demonstration. Key partners include control system integrators and power electronics suppliers. Risk level medium to high Success metric array maintains output during single pod failure and grid response below five hundred milliseconds Go or no go gate autonomous coordination must operate reliably.

### **Phase Four Commercial Pilot**

Duration thirty six months Budget one hundred million dollars Deliverables include a twenty megawatt revenue generating array, three years of operational data, levelised cost of energy validation using real operational expenditure, supply chain development, and certification pathway establishment. Funding includes project finance and government grants. Risk level high Success metric levelised cost of energy below sixty dollars per megawatt hour and capacity factor above eighty percent Go or no go gate validated path to fifty dollars per megawatt hour at one-hundred-megawatt scale.

### **Phase Five Commercial Deployment**

Duration ongoing Scope includes one hundred megawatt arrays at multiple sites, series production of ten or more pods per year, supply chain maturity, and full certification. Budget commercial project finance Risk level normal commercial project risk Target levelised cost of energy thirty-five to forty-five dollars per megawatt hour.

## **6. Array Deployment Model**

### **Grid Pattern Layout**

EM UPGP arrays are deployed in structured grid patterns designed to optimise hydrodynamic performance, minimise wake interference, and simplify maintenance logistics. Typical layouts use regular grid spacing of five to eight rotor diameters, staggered rows to maximise flow recovery, dedicated maintenance lanes for ROV access, and subsea hubs for power aggregation and communication. The grid pattern transforms the array into a coherent underwater power plant with predictable flow behaviour and simplified operational planning.

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**Figure-2: Array Grid Pattern Layout**

### **Autonomous Load Balancing**

Each EM UPGP pod continuously monitors local flow velocity, torque, electrical load, and grid frequency. Through a subsea mesh network, pods coordinate to distribute load evenly across the array, ramp output collectively in response to grid demand, derate individual units during high stress conditions, compensate for offline pods, and maintain stable aggregate output.

Electromagnetic response enables millisecond scale adjustments. The response timeline is as follows: zero to fifty milliseconds detection of frequency deviation fifty to one hundred milliseconds distributed coordination one hundred to one hundred fifty milliseconds electromagnetic loading adjustment one hundred fifty to two hundred milliseconds array level power response. This distributed intelligence model eliminates the need for centralised control and enables rapid grid support.

### **Pod Swap Out and Maintenance Operations**

Maintenance is performed through pod swap operations, eliminating the need for heavy lift vessels or surface level access. Procedure one pod derates to zero using electromagnetic braking two ROV disconnects mechanical and electrical interfaces three pod is lifted to the surface using a standard marine vessel four replacement pod is lowered into position five ROV reconnects interfaces six pod self-tests and rejoins the array. Total swap time is four to six hours Weather window requirement less than three metres significant wave height

### **Fault Isolation and Redundancy**

The array is designed for high resilience. Faulty pods automatically isolate themselves; neighbouring pods rebalance load, subsea hubs reroute power if a cable segment fails, redundant communication paths maintain array coordination, and electromagnetic braking prevents overspeed during grid faults. This ensures continuous operation even during component failures.

### **Installation and Recovery Operations**

Installation is performed using standard marine vessels and ROVs. one transport pod to site two lower to seabed using a simple lift line three ROV positions pod on foundation four electrical and mechanical interfaces are mated five pod performs autonomous commissioning six array integrates new unit. Installation time is eight to twelve hours per pod.

## **7. Scalability and Future Extensions**

### **Expansion Pathways**

EM UPGP arrays scale linearly. Additional pods increase capacity, additional hubs expand footprint, and additional export cables increase throughput. Modularity enables phased deployment, reducing financial and technical risk.

### **Integration with Storage Systems**

EM UPGPs integrate naturally with battery storage, hydrogen electrolysis, pumped hydro, and thermal storage. Fast electromagnetic response enables frequency regulation, peak shaving, and hybrid renewable smoothing. This improves grid stability and revenue potential.

### **Long Term Grid Role**

EM UPGPs provide predictable tidal generation, dispatchable behaviour through electromagnetic governance, ancillary services including frequency and voltage support, and stable baseload contribution in coastal grids. Their predictability makes them ideal for complementing wind and solar.

### **Hybrid Arrays and Multi Resource Sites**

EM UPGPs can co locate with offshore wind, floating solar, and wave energy converters. Shared infrastructure reduces capital expenditure and increases site utilisation.

## **8. Environmental and Marine Interaction Analysis**

### **Marine Life Interaction**

Key environmental characteristics include low rotor tip speeds that reduce strike risk, absence of cavitation under normal operation, minimal acoustic output, no surface structures, and electromagnetic fields contained within sealed housings. Environmental impact is significantly lower than offshore wind or traditional tidal turbines.

### **Sediment and Hydrodynamic Effects**

EM UPGPs have a small seabed footprint, low scour potential, minimal alteration of sediment transport, and predictable wake patterns due to slow turning rotors. Arrays are designed to avoid significant hydrodynamic disruption.

### **Acoustic and Electromagnetic Field Considerations**

Acoustic signature is dominated by low frequency rotor noise and remains below thresholds associated with marine mammal disturbance. Electromagnetic fields are contained within sealed generator housings with negligible external leakage and comply with marine exposure guidelines.

### **Regulatory and Consenting Pathway**

Regulatory considerations include marine spatial planning, environmental impact assessments, benthic habitat surveys, cable routing approvals, and navigation safety compliance. EM UPGPs benefit from no surface structures, low visual impact, and reduced ecological footprint, simplifying consenting relative to offshore wind.

## **9. Conclusion**

EM UPGPs introduce a new architectural model for marine energy generation, combining proven electromagnetic physics with modular subsea design, autonomous control, and low-cost maintenance. By eliminating mechanical complexity, surface structures, and heavy lift vessel requirements, EM UPGPs address the primary cost and reliability barriers that have limited tidal energy adoption. The architecture delivers predictable and grid responsive power with significantly lower operational expenditure and a credible pathway to levelised cost of energy below fifty dollars per megawatt hour. The detailed economic analysis demonstrates a forty to fifty percent cost advantage over offshore wind and a seventy-five to eighty percent advantage over existing tidal systems. A clear technology readiness pathway outlines the progression from component validation to commercial deployment, supported by realistic budgets, timelines, and success metrics. EM UPGPs provide a practical, scalable, and resilient foundation for next generation underwater power generation at utility scale. The architecture is ready for advancement into Phase One component validation and early ocean demonstration.

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## 11. Conflict of Interest

The author declares no competing conflict of interest.

## 12. Funding

No funding was issued for this research.

## 13. Appendices

### **Appendix A: Terminology and Definitions**

- **Direct Drive Generator:** A generator in which the rotor shaft connects directly to the generator without the use of a gearbox.
- **Electromagnetic Governance:** Control of generator torque, braking, and power output through electronic and electromagnetic means rather than mechanical actuators.
- **Pod Swap Maintenance:** A maintenance model in which the entire generator pod is removed and replaced subsea using ROVs.
- **ROV (Remotely Operated Vehicle):** A tethered underwater robot used for inspection, installation, and maintenance tasks.
- **Seabed Interface:** The structural foundation or anchoring system that supports the EM UPGP pod on the ocean floor.
- **Wake Interaction:** Hydrodynamic effects caused by downstream turbulence from a rotor.
- **Cut-in Flow:** The minimum flow velocity at which the generator begins producing power.
- **Cut-out Flow:** The flow velocity at which the system derates or shuts down to protect itself.

### **Appendix B: EM UPGP Component Overview**

- **Hydrodynamic Rotor:** A fixed-pitch, bidirectional rotor designed for low-speed, high-torque operation. Constructed from composite materials for corrosion resistance and fatigue life.
- **Generator Pod:** A sealed, pressure-balanced housing containing the direct-drive generator, control electronics, and thermal management systems.
- **Structural Frame:** A corrosion-resistant support structure that positions the rotor in the flow and provides mechanical stability.
- **Power Electronics Module:** Onboard rectifiers, inverters, and filters that condition generator output for grid export.
- **Subsea Connectors:** Wet-mateable electrical and mechanical connectors designed for ROV operation.
- **Communication Module:** A subsea mesh network transceiver enabling autonomous coordination between pods.

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**Appendix C: Maintenance and Swap-Out Procedures**

1. Pre-swap diagnostics are conducted.
2. System derating and shutdown are initiated using electromagnetic braking.
3. ROV disconnects mechanical and electrical interfaces.
4. The generator pod is recovered to the surface using a standard marine vessel.
5. A replacement pod is deployed.
6. ROV reconnects all interfaces and verifies system integrity.
7. Commissioning and reintegration into the array are completed.

**Total operation time:** Approximately 4–6 hours.

**Appendix D: Environmental and Regulatory Considerations (Supplemental Data)**

- **Benthic Impact:** Low, due to small footprint and minimal seabed disturbance.
- **Marine Mammal Interaction:** Low risk due to slow rotor tip speeds and low acoustic output.
- **Acoustic Signature:** Dominated by low-frequency rotor noise below thresholds associated with behavioral disturbance.
- **Regulatory Frameworks:** Typical requirements include environmental impact assessment, navigation safety review, cable routing approval, and marine spatial planning compliance.

EM UPGPs offer low visual impact and a reduced ecological footprint, making them suitable for sustainable marine energy deployment.

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